

**UMICORE PRECIOUS METALS USA INC.**  
Formerly known as Imperial Smelting & Refining Company USA  
**APPLICATION FOR CREDIT OR INCREASE**  
**AML VERIFICATION FORM**



GENERAL					
Legal Company Name:					
Name Business Operates as:					
Address:					
City:		State:		ZIP Code:	
Phone:		Fax:		E-mail Address:	
Web Site:		Tax ID, SS# or Other ID:			
Check 1: <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation					
Check 1: <input type="checkbox"/> Manufacturer <input type="checkbox"/> Retailer <input type="checkbox"/> Both <input type="checkbox"/> Industrial <input type="checkbox"/> Academic <input type="checkbox"/> Engineering <input type="checkbox"/> Wholesaler					
Ownership and or Principals:					
Line of Credit Requested:			Business Opened Since:		
BANK:			Branch:		
ABA Number:		Account Number:			
Phone Number:		Manager:			

COMMERCIAL REFERENCES				
	Business & Contact Name	Address	Phone	Fax
1				
2				
3				
Products & Services intending to purchase		<input type="checkbox"/> Plate <input type="checkbox"/> Wire <input type="checkbox"/> Grain <input type="checkbox"/> Tube <input type="checkbox"/> Rings <input type="checkbox"/> Findings <input type="checkbox"/> Alloy <input type="checkbox"/> Refining		
Type of Transaction		<input type="checkbox"/> Terms <input type="checkbox"/> Consignment/Toll Account		
Insurance Company:				

**Terms: Net 30 days from Statement Date. Interest Rate: 1% per month (12% Interest per annum) on overdue balance. Customer's signature constitutes acknowledgement and approval of our terms of sale, and authorizes whatever credit investigation is necessary to process this application.**

Authorized Signature:	Title:
Print Name:	Date:

Imperial has adopted the principles, based on the values set by the Canadian Standards Association's *Model Code for the Protection of Personal Information* and Canada's *Personal Information Protection and Electronic Documents Act*. (see full Policy available online at <https://www.imperialproducts.com/CustCarePrivacy.asp>) In providing the information above, you consent to our collection, use and disclosure to appropriate third parties of such personal information for these purposes as outlined in our Imperial Privacy Policy.

**UMICORE PRECIOUS METALS USA INC.**  
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**ANTI-MONEY LAUNDERING PROGRAM**



Imperial Smelting & Refining Co. of Canada Ltd. (Imperial) and its wholly owned subsidiary, Umicore Precious Metals USA Inc. (Umicore) have established an Anti-Money Laundering Program (AML) in compliance with the Proceeds of Crime Terrorist Financing Act (PCMLTFA), the Bank Secrecy Act, the USA PATRIOT Act of 2001, the rules and regulations overseen by the United States Treasury Department's Office of Foreign Assets Control, and other anti-money laundering obligations imposed by federal and other applicable laws in Canada and the United States.

The new anti-money laundering rule now includes dealers in precious metals, precious stones, and jewels. Dealers affected are defined by the rule as those who have both purchased and sold at least \$50,000 of "covered goods" during the preceding calendar or tax year. "Covered goods" include jewels, precious metals, precious stones, and finished goods that derive 50 percent or more of their value from the jewels, precious metals, or precious stones they contain.

Imperial and Umicore fall under the definition of a "Dealer." As part of our AML policy, we will collect information on new individuals and new company owners to validate business transactions.

We thank you for your valued cooperation in eliminating fraud and unlawful business practices in our industry.

President or Owner			
Company Name (if applicable)			
Address:			
Phone:	Fax:	E-Mail:	
Date:			
Federal Tax ID, SS#,SIN or Other ID:			

<b>CERTIFICATION</b>
We have or will be implementing an Anti-Money Laundering (AML) Program: <input type="checkbox"/>
We will not institute an AML Program due to our status as an 'exempt" retailer or dealer: <input type="checkbox"/>

Please return form to: **Imperial Smelting & Refining Co. of Canada Ltd.**  
**Attention: Compliance Officer**  
**451 Denison Street,**  
**Markham, Ontario L3R 1B7**  
**Canada**  
**Fax # 1.800.827.4779**